

Letter of Authorization (LOA)

**WELLS
FARGO****ADVISORS**

Sub Firm #	BR Code	Client Authentication	
		SSN/TIN (last 4 digits) _____	Phone # _____
FA Code	Account Number	Acct. Info. _____	
		Authenticated by _____ QS Approval (if required) _____	
(Office Use Only)			

Section 1 Tell Us About Your Brokerage Account

Please complete all fields in this section.

Account Name(s) (e.g., John Doe, John and Mary Doe, the Doe Family Trust, etc.)

Account Type (e.g., Individual, Joint, Trust, etc.)

Section 2 Tell Us About Your Transfer Request

If no selection is made, one-time disbursement is the default.

☐ **One-Time Disbursement** (Any future requests will require a new form)

Purpose for Transfer – required for UTMA/UGMA _____

☐ **Standing Instruction** (Periodic transfers at the client's discretion with the amount not to exceed a specific threshold.)☐ **Recurring*** (only available for cash)

*Automated transfer of a specific dollar amount or type

Amount \$: _____ AND/OR Type(s)
(dividends, interest, etc.): _____

Start Date: _____ Frequency (monthly, quarterly, etc.): _____

Expiration Date or transfers will continue until you revoke: _____

Section 3 Tell Us What You Want To Transfer

You may elect to distribute cash only, securities only, or both cash and securities.

☐ **All Cash and Securities** - does not include residuals☐ **All Cash and Securities** - including 90 day cash residual transfer☐ **Cash \$:** _____ or write in ALL☐ **Standing Instruction***

Cash Not to Exceed Dollar Value \$ _____ * List securities below if applicable

☐ **All Securities**☐ **Only the securities listed below**

Need more space? Attach separate pages including Tax Lot Selections if applicable; any attachments must also be signed.

Number of Shares/Contracts (or for standing instructions, list not to exceed quantity)	Security Description	Symbol or CUSIP	For standing instructions, provide maximum market value based on prior business day's closing value

Investment and Insurance Products:

☐ Not Insured by FDIC or any Federal Government Agency	☐ May Lose Value	☐ Not a Deposit of or Guaranteed by a Bank or any Bank Affiliate
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Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC and Wells Fargo Advisors Financial Network, LLC, separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.

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Section 4 Tell Us How You Want To Move Assets			
Time of completion varies based on account activity and method of distribution.	☐	Move via Journal to another Wells Fargo Advisors Account	
		8-Digit Account Number	Account Title
	☐	Send shares to another Financial Firm	
		Receiving Account Number	Receiving Account Name
		Receiving Firm Name	Delivery Instructions
			Firm or DTC Number
Checks cannot be mailed directly to the IRS or other taxing authorities. Check cannot be overnighted to a P.O. Box.	☐	Check	
		<u>Payee Information – Make Check Payable To:</u>	
		Payee Name: _____	
		<u>Delivery Instructions - Mail to Address:</u>	
		Street Address	City
	State	Zip Code	Country (if outside of US)
	☐ Optional Memo Note: _____		

Section 5 Provide Signature(s) and Date		
The undersigned acknowledges that I/We have read this form in its entirety and understand and agree to be bound by this Letter of Authorization and the Terms and Conditions located on page 2.		
Account Owner/Trustee Signature X	Print Name	Date
Account Owner/Trustee Signature X	Print Name	Date

Office Use Only	Authorized Account Holder	Physically recognized the client	Confirmed by two pieces of identification (e.g., SSN, account information)
Verbal Instructions (Contingency Only)		☐	
		☐	

Terms and Conditions
WELLS FARGO CLEARING SERVICES, LLC, ("WFA"), and Wells Fargo Bank, N.A., are separate affiliates of Wells Fargo & Company. As separate legal entities, none are responsible for the obligations of the others. Stocks, bonds, mutual funds, and other securities bought and sold through WFA are not bank deposits of any bank and are not insured or otherwise protected by the Federal Deposit Insurance Corporation or any other government agency; are not an obligation of any bank or any affiliate of WFA; are not endorsed or guaranteed by Wells Fargo & Company, WFA, or any bank or any affiliate of WFA; and involve investment risk including possible loss of principal. Accounts are carried by Wells Fargo Clearing Services, LLC, member NYSE/SIPC. In consideration of WFA accepting the instructions on this document, I release and discharge WFA and its affiliates from any liability or claims in connection with these instructions and agree to indemnify and hold WFA harmless against any losses from any action, claim, or demand of any person based upon WFA acting under these instructions. For transfers to third party accounts in which you have no ownership interest, you agree that by signing this document that you are irrevocably relinquishing all rights, title, and interest to the asset(s) listed on this document. It is your responsibility to verify the accuracy and the legitimacy of the source of instructions provided by third parties, such as title companies, attorneys, accountants, and business associates, for transfers from your account. I acknowledge that I have read and understand the terms of this request to transfer assets and further acknowledge that the terms of this request are binding regardless of any other agreement(s) between me and the recipient(s) of these assets.

OFFICE USE ONLY: Associate and Supervisory Signatures		
The undersigned certifies that the account holder(s) authorized the terms of the transfer of funds and/or securities as described in this form.		
Associate's Signature X	Associate's Name	Date
Qualified Supervisor's Signature X	Qualified Supervisor's Name	Date

Investment and Insurance Products:
Not Insured by FDIC or any Federal Government Agency May Lose Value Not a Deposit of or Guaranteed by a Bank or any Bank Affiliate

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